

Schedule 29

DISTRICT ENERGY CORPORATION (DEC)

August 7, 2015

Nebraska Records Management Division
3242 Salt Creek Circle
Lincoln, NE 68504
(402) 471-2559

**REQUEST FOR APPROVAL
OF RECORDS RETENTION
AND DISPOSITION SCHEDULE**

SCHEDULE

29

AGENCY, BOARD OR COMMISSION

DISTRICT ENERGY CORPORATION (DEC)

DIVISION, BUREAU OR OTHER UNIT

**TO: STATE RECORDS ADMINISTRATOR
STATE OF NEBRASKA**

NEW

PART I – AGENCY STATEMENT:

In accordance with Section 84-1212.01, R.R.S. 1943, approval of the attached records retention and disposition schedule by the State Records Administrator is hereby requested. Retention periods and dispositions have been recommended by this agency after a careful evaluation of all factors listed in Section 84-1212.01, R.R.S. 1943.

SIGNATURE

Larry Hudbins

TITLE

President D.E.C.

DATE

July 28 2015

PART II – APPROVAL OF STATE ARCHIVES:

The attached schedule has been analyzed, all archival and historical material has been properly identified, no disposition except by transfer to the State Archives has been recommended for such material, and this schedule is approved as submitted.

SIGNATURE

Gayle Koutny

DATE

8/6/2015

PART III – APPROVAL OF AUDITOR OF PUBLIC ACCOUNTS:

The attached schedule has been reviewed, all audit material has been properly identified, and this schedule is approved as submitted.

SIGNATURE

Deann Harp

DATE

8/7/15

PART IV – APPROVAL OF STATE RECORDS ADMINISTRATOR:

The attached schedule has been reviewed in accordance with Section 84-1212.01, R.R.S. 1943, and is approved as submitted.

SIGNATURE

Jim A. Lae

DATE

8/7/15

INSTRUCTIONS FOR USING THIS SCHEDULE

Records retention and disposition schedules are designed to serve as your records management guideline for storing and disposing of agency records, **regardless of the media on which they reside**, including paper, microfilm, diskettes, optical disks, CDs, DVDs, servers, computer hard drives, etc. This schedule was written specifically for records unique to your office and the Local Agencies General Records Schedule #24 contains those records common to most local government agencies. This retention schedule, which is approved by the State Records Administrator, provides your only ongoing authority to dispose of records. Listed below are some basic procedures to follow when applying this schedule.

DISPOSING OF RECORDS

1. Check your schedules to see what the retention period is. Note: Your agency's unique schedule will take precedence over General Records Schedule #24 for any items which have differences in retention requirements.
2. Dispose of records that have met their retention periods. Unless there is pending or on going, legal action, records request, or audit.
3. For records requiring a review of, or transfer to the **NE State Historical Society (State Archives)**, your agency is required to contact the State Archives to negotiate the transfer. Additionally, **once the records are accessioned into their collection, they become the property of the State Archives**. The State Archives may remove selected records in accordance with standard archival practices to ensure efficient access, organization and enduring historical value (Nebr. Rev. Stat. §82-107). Please contact their office by calling (402) 471-4783.
4. Complete a Records Disposition Report for the records you dispose. The Records Disposition Report form is located on the Secretary of State Records Management website. https://appengine.egov.com/apps/ne/sos_records_disposition_report. This report establishes that the destruction was performed in your normal course of business.

NON-SCHEDULED RECORDS

Contact a Records Management Specialist in Records Management to see whether the records will fit under an item already on the schedule. If they do not, they must be retained until they are added to the next revision of your schedule.

SCHEDULE UPDATE

It is the responsibility of each agency to periodically update their schedule. A Records Management Specialist in Records Management can assist you with the schedule update, which may involve adding new records series and making revisions to existing items. Keeping your schedule current will ensure you have the ongoing authority to discard records when their useful life has ended.

QUESTIONS

If you have any questions about these procedures, please contact your agency Records Officer or the Records Management Specialist in Records Management. They will help you with any questions the schedule may present, including: transferring records to the State Records Center or microfilming records, scanning records, etc.

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LEGEND:

ACT – Active
 PERM – Permanent
 TOE – Termination of Employment
 LOA – Life of Asset
 MAX - Maximum

District Energy Corporation (DEC)
Records Retention Schedule

ELECTRONIC RECORDS –
 retention follows the same retention
 as retention of paper records.

CODE	RECORD CATEGORY	RETENTION
100	Administrative – short term Description: Records to be retained for as long as they have reference value. Examples: Internal processes; manuals (ex. equipment; computer hardware).	ACT
200	Administrative – long term Description: Records retained for management decisions, required by law or needed in a lawsuit. Examples: Reports; projects; security; legal; safety and training.	ACT+7y
300	Corporate Description: Records determined to possess significant long-term historical value. Examples: Board minutes, corporate governance records (policies, programs, processes, forms); public relations; audits (internal and external); opinions/settlements; real estate subdivision plats (approved); energy rate schedules; bond and note authorizations and related documents.	PERM
400	Employee Records and Applications Description: Employee records to be retained as long as the employee is employed; employment applications.	TOE+10y
500	Environmental Description: Records monitoring environmental activities.	PERM
600	Financial and Customer Records Description: Records related to customers; for payment of financial obligations; or receipt of revenues. Examples: Accounts payable; accounts receivable; payroll; budget; taxes; purchases; inventory; insurance & risk management and investments; energy service agreements; professional services contracts.	ACT+6y
700	Financial Records – Accounting & Forecasting Description: Records summarizing accounting transactions and financial & energy forecasting reports.	25y
800	Property / Assets Description: Records retained for the life of the asset; construction contracts; as-built drawings; purchase contracts (other than real estate).	LOA+7y
900	Working Papers Description: Documents used to prepare the final record or kept for convenience or reference. Examples: Draft documents; copies; non-company records.	MAX3y