

# **Schedule 170-2**

# **UNIVERSITY OF NEBRASKA BOARD OF REGENTS**

# **PATIENT RECORDS**

**April 15, 2011**

**Nebraska Records Management Division  
440 South 8<sup>th</sup> Street, Suite 210  
Lincoln, NE 68508  
(402) 471-2559**

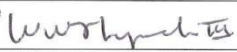
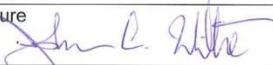
**REQUEST FOR APPROVAL  
OF RECORDS RETENTION  
AND DISPOSITION SCHEDULE**

SCHEDULE
<b>170-2</b>
AGENCY, BOARD OR COMMISSION <b>UNIVERSITY OF NEBRASKA BOARD OF REGENTS</b>
RECORDS SERIES <b>PATIENT RECORDS</b>
<b>Supersedes Schedule 170-2 Edition of February 6, 2007 and Schedule 121 Edition of March 7, 2002; Items 121-1, 121-2, 121-4, and 121-5.</b>

<b>TO: STATE RECORDS ADMINISTRATOR STATE OF NEBRASKA</b>
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**PART I – UNIVERSITY OF NEBRASKA STATEMENT:**

In accordance with Section 84-1212.01, (Reissue 1999), approval of the attached records retention and disposition schedule by the State Records Administrator is hereby requested. Retention periods and dispositions have been recommended by this agency after a careful evaluation of all factors listed in Section 84-1212.01(2), R.R.S. 1943. The attached Records Retention and Disposition Schedule has been approved by the records officers of the University of Nebraska.

University of Nebraska Records Officer (Print Name) <b>William F. Lynch III</b>	
Signature 	DATE 4/15/11
University of Nebraska General Counsel's Office (Print Name) <b>John C. Wiltse</b>	
Signature 	DATE 7 April 2011

**PART II – ARCHIVAL APPROVAL**

The attached schedule has been analyzed, all archival and historical material has been properly identified, no disposition except by transfer to the University of Nebraska Archives has been recommended for such material, and this schedule is approved as submitted.

University of Nebraska Archives (Print Name) <b>Mary Ellen Ducey</b>	
Signature 	DATE 14 Apr 2011

**PART III – APPROVAL OF AUDITOR OF PUBLIC ACCOUNTS:**

The attached schedule has been reviewed, all audit material has been properly identified, and this schedule is approved as submitted.

Signature 	DATE 4/12/11
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**PART IV – APPROVAL OF STATE RECORDS ADMINISTRATOR:**

The attached schedule has been reviewed in accordance with Section 84-1212.01, R.R.S. 1943, and is approved as submitted.

Nebraska State Records Administrator (Print Name) <b>John A. Gale</b>	
Signature 	DATE 4/15/11 JAG

## **INSTRUCTIONS FOR USING THIS SCHEDULE**

Records retention and disposition schedules are designed to serve as your records management guideline for storing and disposing of agency records, **regardless of the media on which they reside**, including paper, microfilm, diskettes, optical disks, CDs, DVDs, servers, computer hard drives, etc. State Agencies General Records Schedule No. 124 contains those records common to most state government agencies. This schedule takes precedence over General Records Schedule 124.

This schedule provides ongoing authority to dispose of paper records that do not have a permanent retention period after electronic copies have been made in accordance with Neb. Rev. Stat. § 25-12,112 (Reissue 1995) and to dispose of paper or electronic records once the record's retention period has expired unless it is subject to a legal hold. The University of Nebraska assumes the responsibility to migrate these electronic records to a new system, software, and/or storage medium when the current system, software, and/or storage medium become obsolete, to ensure that this information remains accessible for the entire required retention period.

### **DISPOSING OF RECORDS**

1. Check your schedules to see what the retention period is.
2. Dispose of records that have met their retention periods unless they are subject to a legal hold.
3. Complete a **Records Disposition Report** for the records you dispose. The Records Disposition Report form is the last page of this schedule. Remove the form, copy it, complete the form, copy it for your records, and send the completed form to the Records Management Division at the address below. If you wish, you may receive this form electronically by contacting the Records Management Division. The completed report establishes that the destruction or other disposition of records was done in the normal course of office activities.

**Nebraska Secretary of State  
Records Management Division  
440 South 8th Street, Suite 210  
Lincoln, NE 68508-2294  
SOS.RecordsManagement@nebraska.gov  
402-471-9778**

### **QUESTIONS**

If you have any questions about these procedures, please contact your University human resources office.

## SCHEDULE 170-2 – UNIVERSITY OF NEBRASKA – BOARD OF REGENTS – PATIENT RECORDS

<b>University of Nebraska Patient Records Retention and Disposition Schedule</b>					
<b>Legend: Y=Years</b>					
ELECTRONIC RECORDS - retention follows the same retention as retention of paper records.					
PRESERVATION HOLD - no destruction of records may take place while there is a Preservation Hold in effect.					
This record retention schedule applies to non-employment-related patient records only. Refer to the University of Nebraska Records Retention and Disposition Schedule No. 170-1 for employment-related patient records.					
<b>Code</b>	<b>Grouping</b>	<b>Category</b>	<b>Sub-Category</b>	<b>Record</b>	<b>Retention</b>
170-2-1	Patient Records			Counseling Records, including EAP	10Y after last date of service or when patient attains age 24, whichever is later
170-2-2	Patient Records			Dental Records	10Y after last date of service or when patient attains age 24, whichever is later
170-2-3	Patient Records			Drug and Alcohol Testing Records	10Y after last date of service or when patient attains age 24, whichever is later
170-2-4	Patient Records			Immunization Records	10Y after last date of service or when patient attains age 24, whichever is later
170-2-5	Patient Records			Laboratory Records	10Y after last date of service or when patient attains age 24, whichever is later
170-2-6	Patient Records			Medical and Dental Billing Records	10Y after last date of service or when patient attains age 24, whichever is later
170-2-7	Patient Records			Medical Records (including Student Health)	10Y after last date of service or when patient attains age 24, whichever is later
170-2-8	Patient Records			Pharmacy Records	10Y after last date of service or when patient attains age 24, whichever is later
170-2-9	Patient Records			Radiology Records	10Y after last date of service or when patient attains age 24, whichever is later
170-2-10	Patient Records			Speech Language and Hearing Clinic Patient Records	10Y after last date of service or when patient attains age 24, whichever is later

**RECORDS DISPOSITION REPORT**

<b>TO: SECRETARY OF STATE  RECORDS MANAGEMENT DIVISION  440 S. 8<sup>TH</sup> STREET SUITE 210  LINCOLN, NE 68508-2294</b>	<small>AGENCY</small> <b>Board of Regents of the University of Nebraska</b>
	<small>DIVISION</small>
	<small>SUB-DIVISION</small>

**REQUIRED INFORMATION:**

In accordance with the Records Management Act, Neb. Rev. Stat. § 84-1212.02 (Reissue 1999) records of this agency have been disposed of under the authorization granted by the following schedule(s):

SCHEDULE NUMBER(S) ONLY (DO NOT INCLUDE SECTION AND ITEM NUMBERS)	TOTAL VOLUME DISPOSED (SEE REVERSE)

**OPTIONAL INFORMATION (FOR YOUR USE ONLY):**

You may include detailed information which will be useful to you in recording exactly what records were disposed of and under what authority. This might include such things as schedule section and item numbers, title of records, inclusive dates of records, etc. This information is not required to be filed with Records Management.

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<small>DATE</small>	<small>SIGNATURE</small>
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**SEND ORIGINAL TO RECORDS MANAGEMENT. MAKE A PHOTOCOPY FOR YOUR RECORDS.**



## VOLUME ESTIMATING GUIDE

**(PLEASE NOTE THAT FOR REPORTING PURPOSES, A BALLPARK ESTIMATE OF THE TOTAL VOLUME OF MATERIAL DISPOSED IS ADEQUATE.)**

Container	Cubic Feet	Weight (lbs)	Sheets of Paper (8.5" x 11")	Electronic Data Equivalent
			1	20 Kb
			52	1 Mb (1024 Kb)
			53,687	1 Gb (1024 Mb)
N/A	N/A	2,204 lbs (1 metric ton)	220,000	4.1 Gb
Records center carton	1 Cu. Foot	16.66 lbs	1,667	32 Mb
Vertical File Cabinet, 4 drawer letter-size	6 Cu. Feet	100 lbs	10,000	190 Mb
Vertical File Cabinet, 4 drawer legal-size	8 Cu. Feet	133.3 lbs	13,333	254 Mb
About a pickup load	50 Cu. Feet	7,500 lbs	748,638	14 Gb