

IACA STS Paper Filing Chart

Field	Action	Article 9 Section	Jurisdiction Deviations	Statutory, MARS or Other Regulatory Authority to Deviate
On All Forms				
Boxes A-C: Contact Information				
a. The filer fails to provide information in any or all items A., B., and/or C.	Accept	9-520(a)	WV: rejects if C is not provided	
Zip Codes				
b. The filer provides mailing address in the city field and either the state <u>or</u> country field of item 1c.	Accept for an international address Reject for a U.S. address	9-516(b)(5)(A)	LA, MN, MO, NV: Reject KS, TX, WA: Accept both international and U.S. address. GA, IN, VA, WV: Accept U.S. address if City and State are present.	LA: 9-516(b)(5)(A) MO: Ad Rules NV: Admin rules/system limitation.
c. The filer fails to provide a zip code for a U.S. address.	Reject	9-516(b)(4) 9-516(b)(5)(A)	KS, MT, NH, TN, TX, VA, WA: Accept	
d. The filer fails to provide a zip code for an international address.	Accept	9-520(a)	LA, MN (requires zip code. Reject for int't)	LA: 9-516(b)(5)(A) NV: Admin rules/system
Financing Statement (Form UCC1)				
Section 1 and 2: Debtor's Information				
Regarding the box above 1a/1b, whether or not this box is checked should not affect the way you input debtor information. The information presented in the boxes should be reviewed and entered as presented, where applicable, regardless of whether the box above 1a/1b is checked.				

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1a. The filer provides an organization name in item 1a <u>and</u> an individual name in item 1b.	Reject	9-516(b)(5)(B)	CA: If 1c provided, accept & index 1a with 1c, and notify customer 1b not indexed. NH: Accept KS, NE, TX: Accept as to item	CA: 9516(b)(5)(A)
1b. The filer provides an organization name in item 1a <u>and</u> an individual name in item 1b. Item 2a and 2b are blank and item 1c <u>and</u> item 2c are completed.	Reject	9-516(b)(5)(B)	NH: Accept KS, NE, TX: Accept as to item 1a and item 1b using item 1c as address for both. Notify customer of illegible debtor	
1c. The filer provides an organization name in item 1a. Item 1c is completed. Debtor name is provided in item 2a. No information is provided in item 2c.	Accept as to debtor 1. Reject as to	9-520(d)	NV	NV: NAC 104.200
1d. The filer provides a debtor name in 1a or 1b that wraps to multiple lines in the name field.* *Acceptance implies that the font and character size are legible.	Accept	9-520(a)		
1e. The filer provides part of a long debtor name in item 1a and continues the name in another debtor name field (item 2a or on the UCC1 Addendum in item 10a). All appropriate address fields are completed.	Accept and index 1a as first debtor name and index 2a	9-520(a)	TX: Index complete debtor as single name if intent is clear. UT: Reject MT: Reject if on 2a, accept if on 10a	
1f. The filer provides part of a long debtor name in item 1a and continues the name in the collateral or miscellaneous field (item 4 or on the UCC1 Addendum in item 12 or item 17).	Accept and index the debtor name as presented	9-520(a)	TX: Index complete debtor as single name if intent is clear.	
Section 3: Secured Party's Information				
3a. The filer provides an organization name in item 3a and an individual name in item 3b. One address is provided in item 3c.	Accept as to item 3a and item 3b using item 3c as address for both.	9-516(b)(4)	MN, MS, NC, NM, NV, OH, PA, SC, UT, MT, TN, VA and WA: Reject CA: Accpet & index 1a with 1c, and notify customer 1b not indexed.	LA: R.S. 10:9-516(b)(4) MO: 9-516(c)(1) MT: 9-516(a) indecipherable. PA: 9-516(c)(1) TN: 9-516(c)(1)
Section 4: Collateral Information				

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4a. The filer fails to provide information in item 4 or fails to provide an attachment.	Accept	9-520(a)	LA, MO, MT, ND, OK, WV: Reject	LA: R.S. 10:9-502(a)(3) MO: 9-504/Ad Rule ND: NDCC 41-0987(2c)(4)
4b. The filer provides information in item 4 that appears to require filing officer action (for example: Additional Debtor or indicate Debtor is a Transmitting Utility).	Accept and take no action to the additional	9-520(a)	TX: Index complete debtor as single name if intent is clear. UT: Accept, index as "none listed."	
Section 5: Collateral Status Indication				
5a. The filer checks both boxes ("Collateral is Held in Trust" or "Being administered by Decedent's Personal Representative).	Accept	9-520(a)	UT: Reject	
Section 6: Transaction Type Indication				
6a. The filer checks the box for Public-Finance Transaction.	Accept and set 30-year	9-515(b) 9-520(a)	GA, MO, WA: Accept with five year lapse.	MO: 9-515(a)
6b. The filer checks the box for Manufactured-Home Transaction.	Accept and set 30-year	9-515(b)	GA, WA, OR: Accept with five year lapse.	
6c. The filer checks the box indicating the Debtor Name provided in box 1a (Organization) is a <i>legitimate</i> Transmitting Utility.	Accept and set no lapse date.	9-515(f) 9-520(a)		

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6d. The filer checks the box indicating the Debtor Name provided in box 1b (Individual) is a <i>legitimate</i> Transmitting Utility.	Accept and set no lapse date.	9-515(f) 9-520(a)	ID, IN, NE, NV, UT: Reject IL: Send to General Counsel for review. MI, WA: Reject if filing appears to be fraudulent. MS: Reject under bogus filer statute. NM, WV: Reject and request proof of TU.	ID: 28-9-516A IL: 810 ILCS 5/9-501.1 IN: 26-1-9.1-901 MI: MCL 440-9520(5)(e) NE: Legal counsel to review NV: Per AG VA: 8.9A516(b)(8)(D) TX: Texas Government Code 405.022
6e. The filer checks multiple boxes in item 6a of a <i>legitimate</i> filing.	Accept and set longest lapse date.	9-520(a)	GA: Accept with five year lapse. IL, CA, IN, MA, ME, MS, MT, NE, NV, OK, OR, PA, SC, TX and UT: Reject NH: Reject - multiple actions.	IL: 9-516(c)(1) MA: 9-516 (c)(1) NE: 9-516(c)(1) NV: NAC 104-225 PA: 9-516(c)(1) OR: ORS 79-0516(3)(a)
6f. The filer checks one or both boxes in item 6b (“Agricultural Lien” and “Non-UCC Filing”).	Accept	9-520(a)	MT	
Section 7: Alternative Designation				
7a. The filer checks multiple boxes in item 7.	Accept	9-520(a)	UT: Reject	
Section 8: Optional Filer Reference Data				
8a. The filer provides information in item 8.	Accept	9-520(a)		
8b. The filer provides information in item 8 that appears to require filing officer action.	Accept and take no	9-520(a)	TX: Index complete debtor as single name if intent is clear.	
Financing Statement Addendum (Form UCC1Ad)				
Section 9: Debtor’s Name Information as it Appears on the Financing Statement.				
9a. The filer fails to provide any information in this section.	Accept	9-520(a)		
9b. The filer provides information in item 9 that appears to require filing officer action (for example: Additional Debtor)	Accept and take no action.	9-520(a)	KS, TX: Index complete debtor as single name if intent is clear.	
Section 10: Debtor’s Information				

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10a. The filer provides an organization name in item 10a <u>and</u> an individual name in item 10b.	Reject	9-516(b)(5)(B)	CA: If 10c provided, accept & index 10a with 10c and notify customer 10b not indexed. NH: Accept KS, NE, TX: Accept as to item	
10b. The filer provides an organization name in item 1a <u>and</u> an individual name in item 1b. Item 10a and 10b are blank and item 1c <u>and</u> item 10c are completed.	Reject	9-516(b)(5)(B)	KS, NE, TX: Accept as to item 1a and item 1b using item 1c as address for both. Notify customer of illegible debtor	
10c. The filer provides an organization name in item 1a. Item 1c is completed. Debtor name is provided in item 10a. Not information is provided in item 10c.	Accept as to debtor 1; Reject as to debtor 2.	9-520(d)	DE, IL, LA, NV, PA, TN: Reject entire UCC. MO: Accept as to debtor SC: Accept as to debtor 1; reject as to debtor 2	DE: (9516(b)(5)(A)) IL: A/R 180.15(b). LA: R.S. 10:9-516(b)(5)(A) NV: Admin Rules/system limitations PA: 9-516(c)(1)
10d. The filer provides a debtor name in 10a or 10b that wraps to multiple lines in the name field.* <i>*Acceptance implies that the font and character size are legible.</i>	Accept	9-520(a)	KS: The name in 10b would be considered add'l debtor which would reject filing as to add'l debtor unless complete	
10e. The filer provides part of a long Debtor name in item 1a and continues the name in another debtor name field on the Addendum, such as 10a). All appropriate address fields are completed, such as 1c and 10c.	Accept and index 1a as first debtor name and index 10a as	9-520(a)	DE, KS, OH: Accept and index 1a; but Reject if 10c and 11c are blank TX: Index complete debtor as single name if intent is clear.	DE: (9516(b)(5)(A))
10f. The filer provides part of a long Debtor name in item 10a and continues the name in the collateral or miscellaneous field (item 12 or 17 on UCC1Ad).	Accept and index the debtor name as only what	9-520(a)	TX: Index complete debtor as single name if intent is clear.	
Section 11: Additional Secured Party's Name/Assignor Secured Party's Name Information				
11a. The filer provides an organization name in item 11a and an individual name in item 11b. One address is provided in item 11c.	Accept as to item 11a and item 11b	9-516(b)(4)	CA: Accept & index 11a with 11c and notify cutomer 11b not indexed.	LA: R.S. 10:9516(b)(4) NV: NAC 104.200

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Section 12: Additional Space/Collateral Information				
12a. The filer provides information in item 12 that appears to require filing officer action (for example: Additional Debtor or indicate Debtor is a Transmitting Utility).	Accept and take no action as to the	9-520(a)		
Sections 13, 14, 15 and 16: Real Estate Recording Information				
13a. The filer checks the box in item 13.	Accept and take no action.	9-520(a)	GA: Accept at local level. ND: Accept at local level only. OK: Reject	
14a. The filer checks one box in item 14.	Accept	9-520(a)	ND: Reject at local level only. OK: Reject	NC: 25-9-501
14b. The filer checks multiple boxes in item 14.	Accept	9-520(a)	ND: Accept at local level only. OK: Reject	NC: 25-9-501
15a. The filer fails to provide the name and address of record owner in item 15, after checking box 13.	Accept	9-520(a)	ND: Accept at local level only. OK: Reject	NC: 25-9-501
16a. The filer fails to provide the description of Real Estate in item 16, after checking box 13.	Accept	9-520(a)	GA: Reject at local level. OK: Reject	NC: 25-9-501
Section 17: Miscellaneous				
17a. The filer provides information in item 17.	Accept and take no	9-520(a)		
17b. The filer provides information in item 17 that appears to require filing office action.	Accept and take no	9-520(a)	TX: Index complete debtor as single name if intent is clear.	
Financing Statement Amendment (Form UCC3)				
Section 1: Initial Financing Statement File Number				
1a. The filer provides the initial financing statement number but fails to provide any other information on the form.	Accept and take no action.	9-520(a)	GA, IL, MI, MS, MO, MT, NV, NM, PA, SC, TN, UT: Reject	IL: ILCS 5/9-516(c)(1) ND: System limitation; cannot generate file number

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1b. The filer provides the initial financing statement number and provides other information on an attachment.	Accept and take no action.	9-520(a)	GA, MI, MO, MS, NM, NV, PA, SC, TN: Reject IL: Accept only if a box in 8 is	IL: ILCS 5/9-516(c)(1) PA: 9-516(c)(1)
1c. The filer provides a number assigned to an amendment (UCC3) rather than an initial financing statement number.	Reject	9-516(b)(3)(B)(i)	OK: Accept UT: N/A-Utah uses UCC1 number for all subsequent	
Section 2: Termination				
2a. A termination is received after other termination(s) have been filed.	Accept	9-520(a)		
Section 3: Assignment				
3a. The filer checks the assignment item 3 and provides no other information.	Reject	9-516(b)(6)		
3b. The filer fails to provide assignor’s name in item 9.	Accept	9-514(B)(1)-(3) 9-520(a)	LA, MO, NV, SC, WV: Reject	MO: 9-514(b)(2) NV: Admin Rules/system
3c. The assignor name in item 9 has filed a previous assignment.	Accept	9-520(a)		
3d. The filer checks the box in item 3, completes item 7, and checks the “assign collateral” box in item 8 (with or without description in item 8).	Accept	9-520(a)		
3e. The filer want to file an Assignment (assigning collateral for a partial assignment) and checks box in item 3 (Assignment), box in item 8 (Collateral Change) and checks the Assign Collateral box also in item 8.	Accept	9-520(a)	NH: Reject	
3f. The filer want to file an Assignment (assigning collateral for a partial assignment) and checks box in item 3 (Assignment) and checks the Assign Collateral box in item 8, but does not check the Collateral Change box in item 8.	Accept	9-520(a)	NH, NV: Reject	
Section 4: Continuation				
4a. Multiple continuations received within the six-month continuation window.	Accept – only extend lapse date on first	9-520(a)	CO: Colorado’s system will allow a continuation to be filed during the appropriate window which closes once a	TN: system limitation MI: system limitation

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4b. Continuation statement received within the six-month continuation window but after termination and prior to lapse date.	Accept and extend lapse date.	9-520(a)		
4c. The filer submits a continuation on paper on the last day within the continuation window, and the filing office is closed due to a <i>regularly scheduled reason</i> (e.g., weekend or regular holiday); therefore, the filing is processed on the next business day.	Reject	9-516(b)(3)(B)(ii) 9-515(d)	MN, PA, UT: Accepts if last day is a weekend or legal holiday. OK, TX: Accept MT: Accept and given date of receipt	MN: MNR 8280.0020 645.1
4d. The filer submits a continuation on paper on the last day within the continuation window and the filing office is closed due to <i>special circumstances</i> (e.g., inclement weather, IT system failure, etc.); therefore, the filing is processed on the next business day.	Reject	9-516(b)(3)(B)(ii) 9-515(d)	CA: Accept & back-date IN, NV, OK, TX, WA, WV: Accept MI: Accept and backdate if IT system failure	
4e. The filer who filed an initial financing statement on February 29 th , files a continuation on March 1 st five years later.	Accept - the relevant anniversary date for a February	9-516(b)(3)(B)(ii) 9-515(d)	ND, NV, TN: Reject	
Section 5: Party Information Change				
Assumption: Name “change” and Name “add” as indicated in item 5 and item 7a or item 7b means name not previously provided, i.e., new name. this assumption applies to both Secured Party and Debtor.				
5a. The filer fails to indicate if the Party Information change affects the Debtor or Secured Party by not checking either the “Debtor” or “Secured Party” box.	Reject	9-516(c)(1)	OR: Accept and take no action.	
5b. The filer checks both the “Debtor” <u>and</u> “Secured Party” boxes in item 5.	Reject	9-516(c)(1)	OR: Accept and take no action.	
5c. The amendment affects the Debtor. The filer checks “Change” in item 5 and provides information in item 7a (name), but omits information in item 7c (address).	Reject	9-516(b)(5)(A)	DE, NH, OK, TN: Accept. Name	DE: 9-520(a)

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5d. The filer checks "Change" and only provides information in item 6 (current record information).	Reject	9-516(b)(4), (5)	DE, OR: Accept and take no action.	
5e. The filer checks "Delete" and only provides information in item 7.	Option 1 – Reject Option 2 - Accept	9-520(d) 9-520(a)	Option 1 Reject: GA, IL, MA, MI, MS, MO, NE, NV, ND, NM, PA, SC, and TX Option 2 Accept: CA, DE, IN, ME, MN, MT, NC, OK, OR, TN, VA, WA, OH:	IL: 9-516 (c)(1) MI: System Limitation ND: Need information of debtor or sec party PA: 9-516(c)(1)
5f. The filer checks "Add" and only provides information in item 6 (current record information).	Reject	9-516(b)(4), (5)	DE, OR: Accept and take no action.	
5g. The filer does not check "Change," "Delete," or "Add" in item 5. The filer has only provided information in item 6 (current record information).	Reject	9-516(c)(1)	DE, OR, VA, WA: Accept and take no action.	
5h. The filer does not check "Change," "Delete," or "Add" but does check "Secured Party" or "Debtor" in item 5. The filer has completed information in item 7.	Reject	9-516(c)(1)	DE, VA, WA: Accept and index. MN, OR: Accept and take no action	
5i. The filer checks "Change" or "Add". No other information is provided	Reject	9-516(b)(4), (5)	DE, OR: Accept and take no action.	
5j. The filer fails to check either "Debtor" or "Secured Party" but an action is indicated in item 5 and required information is provided in item 7.	Reject	9-516(c)(1)	DE, OR, VA: Accept and take no action.	
5k. The filer checks "Debtor" and "Change" in item 5 but does not provide any information in item 6 and has completed item 7.	Accept and index	9-520(a)	NV: Reject MT: Reject per Adm Rule which requires current party.	
5l. The filer checks "Secured Party" and "Change" in item 5, only provides a secured party name in item 6a or item 6b, and a secured party name in item 7a or item 7b.	Reject	9-516(b)(4)	DE: Accept and add name with existing address. NH, OK, TN: Accept UT: Accept for name change –	

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5m. The filer checks "Secured Party" and "Add" in item 5, only provides a secured party name in item 6a or item 6b and a secured party name in item 7a or item 7b.	Reject	9-516(b)(4)	NH: Accept	
5n. The filer checks "Debtor" or "Secured Party" and "Change" in item 5, only provides a name in item 6a or item 6b and information in item 7c.	Reject	9-516(b)(4), (5)	DE, NH, ND, OK, OR, TN: Accept and index new address info as indicated.	
5o. The filer checks "Debtor" and "Change" in item 5, provides debtor name in item 6a or 6b and same debtor name in item 7a or 7b, plus completes information in item 7c.	Accept and index.	9-520(a)		
5p. The filer checks "Debtor" and "delete" in item 5 and provides a name in item 6.	Accept	9-520(a)	GA, ME, ND, OR, TN: Accept and Index. PA: Accept and take no action	
5q. The filer does <i>not</i> check the "Party Information Change" box in 5 but does check Debtor or Secured Party box and does check the Change, Add or Delete box and provides all the necessary information in 6 and/or 7 as needed.	Accept	9-520(a)		
Section 8: Collateral Change				
8a. The filer provides information in item 8 and fails to indicate requested action "Deleted", "Added", "Restated", or "Assigned".	Accept and take no action.	9-520(a)	IL, MI, MS, MO, MT, NV, ND, NM, PA, TN, SC: Reject UT: Return for more info ME: Accept and record as	IL: 9-516 (c)(1) MI: System Limitation NV: Admin Rules/system limitations
8b. The filer checks all boxes in item 8.	Accept and take no action.	9-520(a)	GA, IL, MI, MS, MO, MT, NE, NV, ND, PA, SC, TN and UT: Reject ME: Accept and record as Collateral Change.	IL, NE: 9-516 (c)(1) MI: System Limitation NV: Admin Rules/system limitations PA: 9-516(c)(1)

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8c. The filer checks a box or boxes in item 8 and fails to provide a description of collateral.	Accept and take no action.	9-520(a)	MO, OK: Reject MT: Reject if add, delete or restate is checked. ME: Accept and record as Collateral Change. NE: Accept & index for one	NE: 9-516(C)(1)
Section 9: Name of Secured Party of Record authorizing this Amendment				
9a. The filer does not provide a Secured party or Debtor name in item 9.	Accept	9-520(a)	SC, WV: Reject	
9b. The filer provides a name for the Secured Party in item 9 that does not appear on the financing statement or other amendments.	Accept	9-520(a)	SC: Reject	
Section 10: Optional Filer Reference Data				
10a. The filer provides information in item 10.	Accept	9-520(a)		
10b. The filer provides information in item 10 that appears to require filing officer action.	Accept and take no action as to	9-520(a)	TX: Index complete debtor as single name if intent is clear.	
Financing Statement Amendment Addendum (Form UCC3Ad)				
Section 11: Initial Financing Statement File Number				
11a. The filer has not provided an Initial Financing Statement number in item 11.	Accept	9-520(a)	OK: Reject	
11b. The filer provides an Initial Financing Statement Number that does not match the Initial Financing Statement Number on line 1a of the UCC3.	Accept	9-520(a)		
Section 12: Name of Party Authorizing this Amendment				
12a. The filer does not provide the Name of Party Authorizing the amendment in item 12.	Accept	9-520(a)		

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12b. The filer provides a name of the Party Authorizing in item 12 that does not match the name provided in line 9a/9b of the Initial.	Accept	9-520(a)		
Section 13: Name of Debtor				
13a. The filer does not provide the Debtor Name in item 13.	Accept	9-520(a)		
13b. The filer provides a Debtor Name in item 13 that does not match the name provided in items 6, 7 or 9 of the UCC3.	Accept	9-520(a)		
Section 14: Additional Space for Item 8 (Collateral)				
14b. The filer provides information in item 14 that appears to require filing officer action (for example: Additional Debtor or indicate Debtor is a Transmitting Utility).	Accept and take no action as to the	9-520(a)		
Sections 15, 16 and 17: Real Estate Recording Information				
15a. The filer checks more than one box in item 15.	Accept	9-520(a)	SC, WV: Reject	NC: 25-9-501
16a. The filer fails to provide the name of the record owner in box 16, after selecting a box in item 15.	Accept	9-520(a)		NC: 25-9-501
17a. The filer fails to provide the description of the Real Estate in box 17, after selecting a box in item 15.	Accept	9-520(a)	OK: Reject	NC: 25-9-501
Section 18: Miscellaneous				
18a. The filer provides information in item 18.	Accept	9-520(a)	OK: Reject	
18b. The filer provides information in item 18 that appears to require filing office action.	Accept and take no	9-520(a)	TX: Index complete debtor as single name if intent is clear.	
Amendment Combinations				
Does your Jurisdiction accept Amendment combinations?				

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<p>If Yes, please answer Questions a-o below.</p> <p>If No, proceed to the next section, Miscellaneous.</p>	<p>YES: CA, CO, DE, ME, OK, TX, NC, OH, VA, WA, and MN (charges fee for each transaction)</p> <p>NO: GA, IN, LA, MA, MI, MT, NE, NH, NV, SC</p>			
<p>a. The filer checks Termination and Continuation.</p>	<p>Accept – index both Termination and</p>	<p>9-520(a)</p>	<p>DE: Accept and index item 4 and extend lapse date. CA, IL, NH, NM, NV, OK, PA, SC, WV: Reject</p>	<p>DE: Mgmt System will not accept multiple actions IL: 9-516 (c)(1) PA: 9-516(c)(1)</p>
<p>b. The filer checks Termination and Assignment.</p>	<p>Accept – index both Termination</p>	<p>9-520(a)</p>	<p>DE: Accept and index item 3 CA, IL, OK, PA, SC, WV: Reject UT: Reject if no fee paid. If fee</p>	<p>DE: Mgmt System will not accept multiple actions IL: 9-516 (c)(1)</p>
<p>c. The filer checks Termination and Party Information.</p>	<p>Accept – index both Termination</p>	<p>9-520(a)</p>	<p>DE: Accept and index item 5 if 7 is completed. CA, IL, OK, PA, SC, WV: Reject</p>	<p>DE: Mgmt System will not accept multiple actions IL: 9-516 (c)(1)</p>
<p>d. The filer checks Termination and Collateral Change.</p>	<p>Accept – index both Termination and Collateral</p>	<p>9-520(a)</p>	<p>CA: Accept and index item 2. DE: Accept and index item 8. IL, NH, OK, PA, SC, WV: Reject UT: Reject if no fee; accept and index collateral if fee paid.</p>	<p>DE: Mgmt System will not accept multiple actions IL: 9-516 (c)(1) PA: 9-516(c)(1)</p>
<p>e. The filer checks Continuation and Assignment.</p>	<p>Accept – extend lapse date and</p>	<p>9-520(a)</p>	<p>DE: Accept and index item 4. IL, NH, PA, and SC: Reject</p>	<p>DE: Mgmt System will not accept multiple actions IL: 9-516 (c)(1)</p>
<p>f. the filer checks Continuation and Party Information.</p>	<p>Accept – extend lapse date and</p>	<p>9-520(a)</p>	<p>DE: Accept and index item 4. IL, NH, PA and SC: Reject</p>	<p>DE: Mgmt System will not accept multiple actions IL: 9-516 (c)(1)</p>
<p>g. The filer checks Continuation and Collateral Change.</p>	<p>Accept – extend lapse date and</p>	<p>9-520(a)</p>	<p>CA, DE: Accept and index item 4 IL, NH, PA and SC: Reject</p>	<p>DE: Mgmt System will not accept multiple actions IL: 9-516 (c)(1)</p>
<p>h. The filer checks Assignment. The filer checks box in item 5 (Party Information-Debtor) other than "Delete." Items 6 and 7 are left blank.</p>	<p>Reject</p>	<p>9-516(c)(1) with respect to 9-516(b)(4) and (6)</p>		

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i. The filer checks Assignment. The filer checks box in item 5 (party Information-Debtor) other than "Delete." Items 6 and 7 are completed.	Reject	9-516(c)(1) with respect to 9-516(b)(4) and (6)	WA: Accept and index item 3 assignment – Reject item 5.	
j. The filer checks Assignment. The filer checks box in item 5 (party Information-Secured Party) other than "Delete." Items 6 and 7 left blank.	Reject	9-516(c)(1) with respect to 9-516(b)(4) and (6)		
k. The filer checks Assignment. The filer checks box in item 5 (party Information-Secured Party) other than "Delete." Items 6 and 7 completed.	Reject	9-516(c)(1) with respect to 9-516(b)(4) and (6)	WA: Accept and index item 3 assignment – Reject item 5.	
l. The filer checks Assignment. The filer checks "Delete" in item 5 (party information- Debtor).	Accept – index both Assignment and Party Information	9-520(a)	CA, DE, IL, NC, OK, PA, TN, WV: Reject ND: If item 7 is completed, accept and index for assignment only	IL: 9-516 (c)(1) PA: 9-516(c)(1)
m. The filer checks Assignment. The filer checks "Delete" in item 5 (party information- Secured Party).	Accept – index both Assignment	9-520(a)	CA, DE, IL, NH, NC, OK, PA, TN, UT, WV: Reject ND: If item 7 is completed,	IL: 9-516 (c)(1) PA: 9-516(c)(1)
n. The filer checks Assignment and Collateral Change.	Accept – index both Assignment	9-520(a)	DE: Accept and index item 3 if 7 is completed. CA, IL, NH, WV: Reject	DE: Mgmt System will not accept multiple actions IL: 9-516 (c)(1)
o. The filer checks Party Information and Collateral Change.	Accept – index both Party	9-520(a)	DE: Accept and index item 5 if 7 is completed. CA, IL, NH, PA: Reject	DE: Mgmt System will not accept multiple actions IL: 9-516 (c)(1)
Miscellaneous Items				
a. Use of words such as "same," "N/A," "not applicable," "none," or "see attached," "see addendum," "see exhibit;" or comparable phrases.	Accept - Words used in the form fields should not be interpreted by the filing	9-520(a)	MO: Index if the intent is clear. TX: Index complete debtor as single name if intent is clear.	

IACA STS Paper Filing Chart

b. Forms other than the IACA form or state specific form. <i>*States that have their own forms should indicate such in the deviation section.</i>	Reject	9-521	DE: Accept CO: Colorado rejects all paper forms as we have mandated online filing only. NM: Accept with double fee,	
c. Forms that mimic IACA forms or state specific forms (fields are different sizes, fonts are different, etc.)	Accept	9-521	GA, ME, MI, TN, VA: Reject	GA: Rule 103 MI: MCL 440-9521 TN: Rule 1360-0801-.04
d. Current IACA forms:				
UCC1 Initial Financing Statement	Accept	9-521		
UCC1 Initial Financing Statement Addendum	Accept	9-521		
UCC3 Amendment	Accept	9-521		
UCC3 Amendment Addendum	Accept	9-521		
Additional Party Addendum (UCC1AP and UCC3AP)	Accept	9-521		
e. Attached Pages:				
Filer adds an attachment that is not the IACA addendum form, or a state-specific addendum form.	Accept with attachment; do not index information	9-521	CA, DE, ND, TX: Accept and index if intent is clear.	
Filer adds an attachment that is not the IACA addendum form, or a state-specific addendum form, but that contains properly formatted information.	Accept with attachment; do not index information	9-521	CA, DE, ND, TX: Accept and index if intent is clear.	
f. Bogus or Fraudulent Filings:				

IACA STS Paper Filing Chart

<p>Filer provides the same name in items 1 and 3 of the Initial Financing Statement (i.e., Debtor and Secured Party are the same).</p>	<p>Accept</p>	<p>9-520(a)</p>	<p>DE, ID, IN, MS, MO, MI, MT, NE, NC, NM, OR, PA, SC, VA, WA: Reject. CA, IL: Review TX: Send to Attorney General for review.</p>	<p>CA: CA Gov't Code 12181 DE: Mgmt System will not accept same name for both parties. ID: 28-9-516A IL: 810 ILCS 5/9-501.1 IN: 26-1-9.1-901 MI: MCL 440-9520 (5) MO: 9-516(9)/Ad Rules MT: 9-420 NC: 25-9-516(b)(8) NE: 9-516(b)(8) OR: ORS 79-0516(2)(h) PA: 9-516(c)(1) SC: 9-516(8) TX: Texas Government Code 405.022 VA: 8.9A516(b)(8)(D)</p>
<p>Copies of personal documents, such as birth certificates, social security number, etc., are attached.</p>	<p>Accept</p>	<p>9-520(a)</p>	<p>DE: Reject – refer to Attorney General for review. CA, IL: Review IN, WA: Reject if documents appear to be fraudulent MI, MS, MO, PA, NV, OR, SC, UT, VA: Reject NC: Reject if bogus; redact otherwise. OH, NE, WV: Accept and redact anything resembling EIN or SSN. TX: Send to Attorney General for review. MT: Accept and send notice to the Montana Department of</p>	<p>CA: CA Gov't Code 12181 IL: ILCS 810 5/9-501.1 IN: 26-1-9.1-901 MI: MCL 440-9520 (5) MO: Ad Rule OH: ORC 111.241 MS: Admin Rule NE: 84-712.05(17) NV: Per AG NC: 25-9-516(b)(8) OR: ORS 56.037(1) PA: 9-516(c)(1) TX: Texas Government Code 405.022 UT: Part of our new law VA: 8.9A516(b)(8)(A)</p>

IACA STS Paper Filing Chart

<p>Debtor listed is a public official.</p>	<p>Accept</p>	<p>9-520(a)</p>	<p>DE: Reject – refer to Attorney General for review. CA, IL and MO: Review IN, MT, PA: Reject if documents appear to be fraudulent. MI, NV, NC, OH, OK, OR, SC, VA: Reject TN: Accept and send to general counsel. NH and TX: Send to Attorney General for review. WA: May reject if recognized on the record.</p>	<p>CA: CA Gov't Code 12181. May refer to FBI, TIGTA or CA AG IL: ILCS 810 5/9-501.1 IN: 26-1-9.1-901 MI: MCL 440-9520 (5) MT: 9-420 NE: 9-513A NV: Per AG NC: 25-9-516(b)(8) PA: 9-516(c)(1) OH: ORC 111.24 OR: ORS 205.455(2) TX: 405.022 VA: 8.9A-516(b)(8)(B), If it is obvious that it is a public</p>
<p>The filing appears on its face to be bogus or fraudulent.</p>	<p>Accept *Filing officer can only reject if a statutory rejection reason is present. The filing officer may choose to notify the debtor of a bogus filing, but is not required to do so.</p>	<p>9-520(a)</p>	<p>DE: Reject – refer to Attorney General for review. ID, CA, IL: Review IN, MI, MS, MO, NV, NC, OR, PA, SC, UT, VA, WA: Reject MT: Reject determined is improper. NH and TX: Send to Attorney General for review.</p>	<p>CA: CA Gov't Code 12181 ID: Title 45, Ch 17 IL: 810 ILCS 5/9-501.1 IN: 26-1-9.1-901 MI: MCL 440-9520 (5) MO: 9-516(B)(9) MT: 9-420 NE: UCC 9-513A NV: Per AG NC: 25-9-516(b)(8) PA: 9-516(c)(1) OR: 79.0516(2)(h) TX: Texas Government Code 405.022 VA: 8.9A-516(b)(8)(C), 8.9A-516(b)(8)(B) 8.9A-516(b) (8) (A) and 8.9A-516(b) (8)(D)</p>

IACA STS Paper Filing Chart

<p>The filing appears on its face to be bogus or fraudulent and names an individual as a Transmitting Utility</p>	<p>Accept</p>	<p>9-520(a)</p>	<p>MI, MO, NE, NV, SC, and WA: Reject CA: Review</p>	<p>CA: CA Gov't Code 12181 MI: MCL 440-9520(5)(e) MO: 9-516(B)(9); 9-102(80) NE: Legal to Review WA: RCW 60.70.030</p>
<p>g. Customer Service Issues:</p>				
<p>Filing requests made in cover letters or post-it notes, such as "file first."</p>	<p>Use reasonable judgment to accommodate</p>	<p>9-502(a)</p>	<p>MA: Accepts but does not guarantee compliance with request. MO: Accepts but does not</p>	
<p>Refusal errors and errors by the Filing Office.</p>	<p>Accept – File the UCC record with the filing date and time the UCC record was originally tendered for filing (which means</p>	<p>9-520(a), MARS 208</p>	<p>UT: Does not backdate.</p>	
<p>The filer points out an error, or the filing office discovers an error, that the filing office overtly made while entering the UCC record.</p>	<p>Accept – File a filing officer statement record relating to the relevant initial financing statement in</p>	<p>9-520(a), MARS 208</p>	<p>UT: We give them 30 days to bring to our attention in order to maintain original filing date.</p>	

IACA STS Paper Filing Chart

<p>The filer provides a number (i.e., bank account) that exceeds 9 digits.</p>	<p>Accept</p>	<p>9-520(a)</p>	<p>CA, IL, MA, MI, NE, NC, OH, TX, UT, WA, WV: Redacts sensitive info. MS, MO, NV, SC: Reject</p>	<p>MS: Admin Rule MO: Ad Rule NE: 84-712.05(17) NV: Per AG OH: ORC 111.241</p>
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