

# **Schedule 37-590**

## **DEPARTMENT OF TRANSPORTATION**

### **BROADBAND OFFICE**

June 18, 2024

Nebraska Records Management Division  
3242 Salt Creek Circle  
Lincoln, NE 68504  
(402) 471-2559

**REQUEST FOR APPROVAL  
OF RECORDS RETENTION  
AND DISPOSITION SCHEDULE**

SCHEDULE
<b>37-590</b>
AGENCY, BOARD OR COMMISSION <b>DEPARTMENT OF TRANSPORTATION</b>
DIVISION, BUREAU OR OTHER UNIT <b>BROADBAND OFFICE</b>
<b>NEW</b>

**TO: STATE RECORDS ADMINISTRATOR  
STATE OF NEBRASKA**

**PART I – AGENCY STATEMENT:**

In accordance with Section 84-1212.01, R.R.S. 1943, approval of the attached records retention and disposition schedule by the State Records Administrator is hereby requested. Retention periods and dispositions have been recommended by this agency after a careful evaluation of all factors listed in Section 84-1212.01, R.R.S. 1943.

SIGNATURE Patrick Haggerty  
Patrick Haggerty (Jun 14, 2024 16:49 CDT)

TITLE  
**Director**

DATE  
**Jun 14, 2024**

**PART II – APPROVAL OF STATE ARCHIVES:**

The attached schedule has been analyzed, all archival and historical material has been properly identified, no disposition except by transfer to the State Archives has been recommended for such material, and this schedule is approved as submitted.

SIGNATURE  
Anna Holley  
Anna Holley (Jun 18, 2024 10:10 CDT)

DATE  
**Jun 18, 2024**

**PART III – APPROVAL OF AUDITOR OF PUBLIC ACCOUNTS:**

The attached schedule has been reviewed, all audit material has been properly identified, and this schedule is approved as submitted.

SIGNATURE  
Pat Reding  
Pat Reding (Jun 18, 2024 10:47 CDT)

DATE  
**Jun 18, 2024**

**PART IV – APPROVAL OF STATE RECORDS ADMINISTRATOR:**

The attached schedule has been reviewed in accordance with Section 84-1212.01, R.R.S. 1943, and is approved as submitted.

SIGNATURE  
Robert B. Evnen  
Robert B. Evnen (Jun 18, 2024 16:08 CDT)

DATE  
**June 18, 2024**

## **INSTRUCTIONS FOR USING THIS SCHEDULE**

Records retention and disposition schedules are designed to serve as your records management guideline for storing and disposing of agency records, **regardless of the media on which they reside**, including paper, microfilm, diskettes, optical disks, CDs, DVDs, servers, computer hard drives, etc. This schedule was written specifically for records unique to your office and the State Agencies General Records Schedule #124 contains those records common to most state government agencies. This retention schedule, which is approved by the State Records Administrator, provides your only ongoing authority to dispose of records. Listed below are some basic procedures to follow when applying your schedule.

### **DISPOSING OF RECORDS**

1. Check your schedules to see what the retention period is. Note: Your agency's unique schedule will take precedence over State Agencies General Records Schedule #124 for any items which have differences in retention requirements.
2. Dispose of records that have met their retention periods. Unless there is pending or on going, legal action, records request, or audit.
3. For records requiring a review of, or transfer to the **NE State Historical Society (State Archives)**, your agency is required to contact the State Archives to negotiate the transfer. Additionally, **once the records are accessioned into their collection, they become the property of the State Archives** (Neb. Rev. Stat. §82-105). The State Archives may remove selected records in accordance with standard archival practices to ensure efficient access, organization and enduring historical value (Neb. Rev. Stat. §82-107). Please contact their office by calling (402) 471-4783.
4. Complete a Records Disposition Report for the records you dispose. The Records Disposition Report form is located on the Secretary of State Records Management website. [https://appengine.egov.com/apps/ne/sos\\_records\\_disposition\\_report](https://appengine.egov.com/apps/ne/sos_records_disposition_report). This report establishes that the destruction was performed in your normal course of business.

### **NON-SCHEDULED RECORDS**

Contact a Records Management Specialist in Records Management to see whether the records will fit under an item already on the schedule. If they do not, they must be retained until they are added to the next revision of your schedule.

### **SCHEDULE UPDATE**

It is the responsibility of each agency to periodically update their schedule. A Records Management Specialist in Records Management can assist you with the schedule update, which may involve adding new records series and making revisions to existing items. Keeping your schedule current will ensure you have the ongoing authority to discard records when their useful life has ended.

### **QUESTIONS**

If you have any questions about these procedures, please contact your agency Records Officer or the Records Management Specialist in Records Management. They will help you with any questions the schedule may present, including: transferring records to the State Records Center or microfilming records, scanning records, etc.

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Lincoln, NE 68504  
402-471-2559**

**SCHEDULE 37-590**  
**DEPARTMENT OF TRANSPORTATION**  
**NEBRASKA BROADBAND OFFICE**

June 18, 2024

Retention requirements are for all records, regardless of the media on which they reside, unless otherwise noted  
Records that are handled by all Divisions and Districts will be listed in Department of Transportation General Schedule 37  
If you cannot find a record or you have questions on its retention period, please  
contact the NDOT Records Officer in the Communication and Public Policy Division

<b>NEW</b>				
<b>ITEM NUMBER</b>	<b>RECORD TITLE</b>	<b>DESCRIPTION/EXAMPLES</b>	<b>RETENTION</b>	<b>REFERENCE/COMMENTS</b>
37-590-1	<b>DIRECTOR AND DEPUTY DIRECTOR CORRESPONDENCE</b>	Correspondence between the director and deputy director with political officials/ subdivisions, including but not limited to the Governor, congressional and legislative delegates, agencies, boards, commissions, and the public. Correspondence prepared by NBO for the Governor's signature. Exceptions: project correspondence and mass mailings	Transfer to State Archives after 20 years	
37-590-2	<b>CORRESPONDENCE - GENERAL</b>	Letters received from the public/outside entities and NBO responses.	20 years	
37-590-3	<b>POLICY AND PROCEDURE MANUALS AND BROCHURES</b>	Manuals/brochures/publications created by NBO for internal/external distribution, including Grants Management Policy, Project Management Plan(s), Risk Assessment and Mitigation Plan, and other topics	Superseded plus 1 until obsolete	Deposit 4 copies with the NE Publication Clearinghouse
37-590-4	<b>GRANT FILES</b>	Includes the following: incoming grants files, audit files related to incoming grants, contracts, subgrants, and agreements, outgoing grants files, subgrantee performance and financial monitoring reports, subgrantee compliance reviews, Federal Funding Accountability and Transparency Act (FFATA) reports	5 years after submission of the final expenditure report or resolution of litigation, claim, or audit	
37-590-5	<b>ANNUAL REPORTS</b>	Annual reports created for the Legislature and/or Governor	20 years	Deposit 4 copies with the NE Publication Clearinghouse
37-590-6	<b>NBO WEBSITE &amp; OUTREACH MATERIALS</b>	Projects grants forms, public information items, etc.	Superseded until obsolete	