### Schedule 35-6

# Department of Health Dental Health Division



Nebraska Records Management Division

### REQUEST FOR APPROVAL OF RECORDS RETENTION SCHEDULE NUMBER AND DISPOSITION SCHEDULE 35-6 AGENCY, BOARD OR COMMISSION DEPARTMENT OF HEALTH DIVISION, BUREAU OR OTHER UNIT STATE RECORDS ADMINISTRATOR TO: Dental Health Division STATE OF NEBRASKA Supersedes Edition of May 7, 1986 PART I — AGENCY STATEMENT In accordance with Section 84-1212.01, R.R.S. 1943, approval of the attached records retention and disposition schedule by the State Records Administrator is hereby requested. Retention periods and dispositions have been recommended by this agency after a careful evaluation of all factors listed in Section 84-1212.01, R.R.S. 1943. SIGNATURE TITLE 12-5-88 PART II — ARCHIVAL APPROVAL The attached schedule has been analyzed, all archival and historical material has been properly identified, no disposition except by transfer to the State Archives has been recommended for such material, and this schedule is approved as submitted. SIGNATURE 9 Due. 1988 STATE ARCHIVIST PART III — APPROVAL BY STATE RECORDS ADMINISTRATOR The attached schedule has been reviewed in accordance with Section 84-1212.01, R.R.S. 1943, and is approved as submitted. SIGNATURE

Dec 13,1988

#### INSTRUCTIONS FOR USING THIS SCHEDULE

Records retention and disposition schedules are designed to serve as your records management guideline for storing and disposing of agency records, *regardless of the media on which they reside*, including paper, microfilm, diskettes, optical disks, CDs, DVDs, servers, computer hard drives, etc. This schedule was written specifically for records unique to your office and the State Agencies General Records Schedule #124 contains those records common to most state government agencies. This retention schedule, which is approved by the State Records Administrator, provides your only ongoing authority to dispose of records. Listed below are some basic procedures to follow when applying your schedule.

#### DISPOSING OF RECORDS

- 1. Check your schedules to see what the retention period is. Note: Your agency's unique schedule will take precedence over State Agencies General Records Schedule #124 for any items which have differences in retention requirements.
- 2. Dispose of records that have met their retention periods. Unless there is pending or on going, legal action, records request, or audit.
- 3. For records requiring a review of, or transfer to the NE State Historical Society (State Archives), your agency is required to contact the State Archives to negotiate the transfer. Additionally, once the records are accessioned into their collection, they become the property of the State Archives (Neb. Rev. Stat. §82-105). The State Archives may remove selected records in accordance with standard archival practices to ensure efficient access, organization and enduring historical value (Neb. Rev. Stat. §82-107). Please contact their office by calling (402) 471-4783.
- 4. Complete a Records Disposition Report for the records you dispose. The Records Disposition Report form is located on the Secretary of State Records Management website. <a href="https://appengine.egov.com/apps/ne/sos\_records\_disposition\_report">https://appengine.egov.com/apps/ne/sos\_records\_disposition\_report</a>. This report establishes that the destruction was performed in your normal course of business.

#### **NON-SCHEDULED RECORDS**

Contact a Records Management Specialist in Records Management to see whether the records will fit under an item already on the schedule. If they do not, they must be retained until they are added to the next revision of your schedule.

#### SCHEDULE UPDATE

It is the responsibility of each agency to periodically update their schedule. A Records Management Specialist in Records Management can assist you with the schedule update, which may involve adding new records series and making revisions to existing items. Keeping your schedule current will ensure you have the ongoing authority to discard records when their useful life has ended.

#### **QUESTIONS**

If you have any questions about these procedures, please contact your agency Records Officer or the Records Management Specialist in Records Management. They will help you with any questions the schedule may present, including: transferring records to the State Records Center or microfilming records, scanning records, etc.

Records Management Division 3242 Salt Creek Circle Lincoln, NE 68504 402-471-2559

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#### SCHEDULE NO. 35-6 - DEPARTMENT OF HEALTH, DIVISION OF DENTAL HEALTH

#### 35-6-1 DELETED

#### 35-6-2 AGREEMENTS

Copies of written agreements between the State Department of Health, physicians, local agencies, or others for performance of specific services. Information contains statements of purpose, provisions, and conditions of termination.

Dispose of 2 years after fulfillment of agreement provided audit has been completed.

#### 35-6-3 ANNUAL REPORT

Progress report of the Division's activities that will be included in the Nebraska Health Department's Annual Report.

Dispose of according to Schedule No. 124-1-129.

#### 35-6-4 ANNUAL WORK PROGRAM (OBSOLETE 1982)

Management by objectives format for current fiscal year. Outline for Dental Health programs including the overview and justifications of objectives, milestones, and action steps for the projected fiscal year. **Immediately dispose of obsolete records.** 

### 35-6-5 COMPREHENSIVE DENTAL HEALTH CARE PROGRAM PRELIMINARY REQUEST FOR FUNDING

Project proposal from local or private Dental Health organizations requesting operating funds from the Nebraska Division of Dental Health.

DENIED REQUESTS: Dispose of after 3 years provided audit has been completed.

APPROVED REQUESTS: Dispose of 5 years after project termination date provided audit has been completed.

#### 35-6-6 CONTINUING EDUCATION UNITS (C.E.U.) FILES

Alphabetical listing of the cities in Nebraska in which oral health inservices are given. Each file contains the date, time and place where inservice was given, type of inservices and index cards of nurses attending who obtain continuing education units for attendance.

Dispose of after 5 years.

#### 35-6-7 COUNTY DENTAL HEALTH CONSULTANTS

Alphabetical listing by county of the number of practicing dentists in that county. Also included is the name and address of the county consultant of the Nebraska Dental Association.

Dispose of when superseded.

#### 35-6-8 COUNTY FILES

Dental Health files maintained on counties in Nebraska that have active dental health programs. Information includes newspaper clippings, agreements, progress reports, copies of County Board of Health meeting minutes, correspondence, statements and vouchers.

Dispose of 2 years after program becomes obsolete.

#### 35-6-9 DENTAL HEALTH FILING MANUAL

Subject index to the Dental Health filing system.

Dispose of when superseded.

#### 35-6-10 HISTORY OF DENTAL HEALTH DIVISION

Compiled history of the Nebraska Dental Health Division. Information dates back to 1949 when the division was created by the Legislature. Information includes purposes, projects, programs over the years along with job descriptions and photographs.

Transfer originals to State Archives; retain permanently. Retain a permanent copy in division's files.

#### 35-6-11 LICENSED DENTISTS AND HYGIENISTS

Computer report listing dentists by county and alphabetically. Information includes account number, name, address and license number.

Dispose of when superseded or obsolete, provided audit has been completed.

#### 35-6-12 MATERNAL AND CHILD HEALTH (MCH) DENTAL PROJECT

Dental maintenance and prevention project currently operating in several counties that are in need of economic development. Files are maintained on each participating county and may contain the following records: agreements, addendums, correspondence, graduated schedule of fees, eligibility guidelines, and schedule of services.

Dispose of 5 years after termination of project year provided audit has been completed.

#### 35-6-13 MCH DENTAL PROJECT MANUAL

Outline, procedures and purposes of the Maternal and Child Health Dental Project.

Dispose of 5 years after termination of project.

#### 35-6-14 MEETING OR CONVENTION REPORTS

Review contents for meetings or conventions attended by staff members. Information includes date, type of meeting, place, observations, conclusions, or recommendations.

Dispose of after 2 years.

35-6-15 DELETED

#### 35-6-16 NEBRASKA DENTISTS--GEOGRAPHIC DISTRIBUTION BY ACTIVITY STATUS

Tabulations of the total number of dentists in Nebraska broken down into practicing full-time, part-time, retired, other and unknown. This information is presented by counties, by regions, and by Health Planning Councils.

Dispose of when superseded.

35-6-17 DELETED

#### 35-6-18 PHOTOGRAPHIC FILE

Includes slides of Nebraska Health Department Dental programs. This material is used for workshops and presentations.

Dispose of when obsolete.

35-6-19 AND 35-6-20 DELETED

#### 35-6-21 PUBLICATION FILES

Records for publication by the Dental Health Division. Material is used in oral health education programs and projects. May include background material, copy (drafts), original art work, photo negatives, prints, flats, and final publications. Copies of publications are circulated through Publications Clearinghouse.

ORIGINAL ART WORK: Dispose of when superseded or obsolete; subject to review by the State Archivist before disposal.

ALL OTHER DOCUMENTS: Dispose of when superseded or obsolete.

#### 35-6-22 RULES AND REGULATIONS GOVERNING FLUORIDATION OF WATER SUPPLIES

Nebraska rules and regulations that apply to the fluoridation of public water supplies.

Dispose of when superseded or obsolete.

#### 35-6-23 SURVEYS, STUDIES AND RESEARCH PROJECTS

In-depth research projects, surveys or other studies that provide information in furthering health programs. Dispose of when obsolete; subject to review by the State Archivist before disposal.

#### 35-6-24 WELFARE RATE SCHEDULE

Rate schedule of medical services for welfare recipients.

Dispose of when superseded provided audit has been completed.

#### 35-6-25 WORKSHOPS PAST PRESENTATIONS

Precoordination materials for conducting Dental Health workshops. Information includes topics, dates of workshops, correspondence and number attending.

Dispose of after 3 years.

#### 35-6-26 MCH DENTIST FILES

Each month participating dentists send in Attending Dentist Statements for reimbursement of services they performed on individuals in the MCH program under the age of majority. Information may include: services rendered, schedule of fees, charts of work performed, etc.

Dispose of 4 years after individual reaches the age of majority or after 23 years, whichever applies.