

Schedule 180

OFFICE OF THE CAPITOL COMMISSION

FEBRUARY 12, 2008

Nebraska Records Management Division
3242 Salt Creek Circle
Lincoln, NE 68504
(402) 471-2559

**REQUEST FOR APPROVAL
OF RECORDS RETENTION
AND DISPOSITION SCHEDULE**

SCHEDULE	180
AGENCY, BOARD OR COMMISSION	Office of the Capitol Commission
DIVISION, BUREAU OR OTHER UNIT	

**TO: STATE RECORDS ADMINISTRATOR
STATE OF NEBRASKA**

PART I -- AGENCY STATEMENT

In accordance with Section 84-1212.01, R.R.S. 1943, approval of the attached records retention and disposition schedule by the State Records Administrator is hereby requested. Retention periods and dispositions have been recommended by this agency after a careful evaluation of all factors listed in Section 84-1212.01, R.R.S. 1943.	
SIGNATURE <i>Robert C. Ripley</i>	
TITLE <i>CAPITOL ADMINISTRATOR</i>	DATE <i>JAN 29, 2008</i>

PART II - ARCHIVAL APPROVAL

The attached schedule has been analyzed, all archival and historical material has been properly identified, no disposition except by transfer to the State Archives has been recommended for such material, and this schedule is approved as submitted.	
SIGNATURE <i>Andrea I. Faling</i>	DATE <i>Feb. 7, 2008</i>
STATE ARCHIVES	

PART III -- APPROVAL BY STATE RECORDS ADMINISTRATOR

The attached schedule has been reviewed in accordance with Section 84-1212.01, R.R.S. 1943, and is approved as submitted.	
SIGNATURE <i>Jim D. Lane</i>	DATE <i>2/12/08</i>
STATE RECORDS ADMINISTRATOR	

RMA 01005D

INSTRUCTIONS FOR USING THIS SCHEDULE

Records retention and disposition schedules are designed to serve as your records management guideline for storing and disposing of agency records, **regardless of the media on which they reside**, including paper, microfilm, diskettes, optical disks, CDs, DVDs, servers, computer hard drives, etc. This schedule was written specifically for records unique to your office and the State Agencies General Records Schedule #124 contains those records common to most state government agencies. This retention schedule, which is approved by the State Records Administrator, provides your only ongoing authority to dispose of records. Listed below are some basic procedures to follow when applying your schedule.

DISPOSING OF RECORDS

1. Check your schedules to see what the retention period is. Note: Your agency's unique schedule will take precedence over State Agencies General Records Schedule #124 for any items which have differences in retention requirements.
2. Dispose of records that have met their retention periods. Unless there is pending or on going, legal action, records request, or audit.
3. For records requiring a review of, or transfer to the **NE State Historical Society (State Archives)**, your agency is required to contact the State Archives to negotiate the transfer. Additionally, **once the records are accessioned into their collection, they become the property of the State Archives** (Neb. Rev. Stat. §82-105). The State Archives may remove selected records in accordance with standard archival practices to ensure efficient access, organization and enduring historical value (Neb. Rev. Stat. §82-107). Please contact their office by calling (402) 471-4783.
4. Complete a Records Disposition Report for the records you dispose. The Records Disposition Report form is located on the Secretary of State Records Management website. https://appengine.egov.com/apps/ne/sos_records_disposition_report. This report establishes that the destruction was performed in your normal course of business.

NON-SCHEDULED RECORDS

Contact a Records Management Specialist in Records Management to see whether the records will fit under an item already on the schedule. If they do not, they must be retained until they are added to the next revision of your schedule.

SCHEDULE UPDATE

It is the responsibility of each agency to periodically update their schedule. A Records Management Specialist in Records Management can assist you with the schedule update, which may involve adding new records series and making revisions to existing items. Keeping your schedule current will ensure you have the ongoing authority to discard records when their useful life has ended.

QUESTIONS

If you have any questions about these procedures, please contact your agency Records Officer or the Records Management Specialist in Records Management. They will help you with any questions the schedule may present, including: transferring records to the State Records Center or microfilming records, scanning records, etc.

**Records Management Division
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402-471-2559**

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SCHEDULE 180 – OFFICE OF THE CAPITOL COMMISSION

180-1 ADMINISTRATIVE RECORDS

180-1-1 ADMINISTRATIVE REPORTS

Reports compiled by division heads on a regular basis for submission to department heads or their equivalent.

Retain permanently in the Nebraska Capitol Collections.¹

180-1-2 ANNUAL REPORT

Retain permanently in the Nebraska Capitol Collections.¹

180-1-3 CAPITOL PROJECT FILES

Summary file for all major projects done in and on the building, grounds, and environs. This is defined as projects that are assigned an official project number. Contents can include (but are not limited to): studies, bids, change orders, consultant contracts and payments, contractor contracts and payments, correspondence, media information, meeting minutes, miscellaneous costs, observation reports, requests for proposals, shop drawings, specs, test results, images.

Retain permanently in the Nebraska Capitol Collections.¹

180-1-4 COMMUNICATIONS, LONG TERM

Communications containing information related to the mission of the agency, i.e., documents major transactions, establishes or implements policy, establishes legal rights or responsibilities, or has other long-term ramifications to the operation of the agency.

Retain permanently in the Nebraska Capitol Collections.¹

180-1-5 COMMUNICATIONS, PROJECT RELATED

Communications related to a specific agency project. A project is an activity that has an identifiable beginning and end.

Retain permanently in the Nebraska Capitol Collections.¹

180-1-6 DAILY LOG BOOKS

Kept by staff members in the shops to document daily activities. Logs may include, but not limited to, maintenance of historical nature.

Dispose of after 10 years or when no longer of administrative value, whichever is later.

180-1-7 MINUTES OF MEETINGS

Official meeting minutes of the Capitol Commission.

ORIGINAL RECORD: Retain permanently in the Nebraska Capitol Collections.¹

OTHER COPIES: Dispose of after no longer of reference value.

180-1-8 ORGANIZATION CHARTS

ORIGINAL RECORD: Retain permanently in Nebraska Capitol Collections.¹

WORKING COPIES: Dispose of after superseded.

180-1-9 POLICY AND PROCEDURES MANUAL, AGENCY

Retain permanently in the Nebraska Capitol Collections.¹

WORKING COPIES: Dispose of after superseded.

180-1-10 REPORTS (CONSULTANTS AND COMMITTEES)

Retain permanently in the Nebraska Capitol Collections.¹

180-1-11 STUDIES AND REPORTS

Includes **STUDIES AND REPORTS** not considered part of a Capitol Project.

FINAL REPORT: Retain permanently in the Nebraska Capitol Collections.¹

WORKING COPIES: Dispose of after no longer of reference value.

180-2 CIRCULATING COLLECTIONS

This collection contains natural and synthetic objects, which are elements of the Capitol's architecture. It includes, but is not limited to, furnishings, artwork, light fixtures, hardware, and architectural fragments. Many of these items are not in service and are in storage at the Capitol. When feasible, such objects are returned to functional use within the Capitol, its landscape, or site.

180-2-1 AGENCY SURVEYS

Original surveys done after many agencies moved out of the Capitol. It recorded original Capitol furnishings still in their possession.

ORIGINAL RECORD: Retain permanently in the Nebraska Capitol Collections.¹

WORKING COPIES: Dispose of after no longer of reference value.

180-2-2 CAPITOL ORIGINAL INVENTORY FORMS

Form filled out while reviewing furnishings in the field.

ORIGINAL RECORD: Retain permanently in the Nebraska Capitol Collections.¹

WORKING COPIES: Dispose of after no longer reference value.

180-2-3 IMAGE CATALOG

Record image taken of each type of furnishing within the Original Capitol inventory system.

Retain permanently in the Nebraska Capitol Collections.¹

180-2-4 NIS INVENTORY PRINTOUTS

Generated and reviewed annually.

Dispose of after 2 years or no longer of reference value, whichever is later.

180-2-5 SURPLUS/TRANSFER

Documentation establishing ownership by the Original Capitol Collection (OCC) of furnishings transferred from other agencies through Surplus.

ORIGINAL RECORD: Retain permanently in the Nebraska Capitol Collections.¹

WORKING COPIES: Dispose of after no longer of reference value.

180-3 PERMANENT COLLECTIONS

These materials are the bulk of the Nebraska Capitol Collections (NCC). In addition to the modern records referenced above, the NCC includes the Archival Collections and objects permanently retired from use. These objects include, but are not limited to, furnishings, artwork, light fixtures, hardware and architectural fragments. These objects are used for research and reference purposes; documenting original finishes, construction techniques and other aspects important to the accurate restoration and preservation of pieces in the Circulating Collections and the Capitol itself.

180-3-1 ACCESSION LOG

Record of accessions. Includes the log (accession) number, date received, donor name, collection name, brief description of the collection contents and disposition of material.

Retain permanently in the Nebraska Capitol Collections.¹

180-3-2 ACCESSION FILE

Contains documents relating to the transfer of ownership of an object or collection via either donation or OCC purchase. For a donation, this may include a receipt for prospective donation, the donation form, correspondence, the history of the object or the collection, permanent loan information, conservation reports and catalog descriptions of the objects. The donation form includes the donor's name, address, and description of donated objects, log number, catalog number and both the donor's signature and the signature of the OCC representative. For a purchase, this may include any supporting documentation created during the transaction: ebay listing, correspondence with seller, receipt of purchase. Some items in the collections are found in the building. These are documented to the fullest extent possible and a record of this is kept in this file.

Retain permanently in the Nebraska Capitol Collections.¹

180-3-3 CATALOG FILE

A numerical file for each individual museum object which contains descriptive and historical data, and may include a photograph or slide of the object. The catalog number consists of the accession number plus the object number.

Retain permanently in the Nebraska Capitol Collections.¹

180-3-4 COLLECTIONS DISPOSITION FORMS

Form used to document the disposition of collections or parts of collections that are transferred to another collection, another division or organization, or removed from collections.

Retain permanently in the Nebraska Capitol Collections.¹

180-3-5 DONOR FILES

Chronological list of items donated.

Retain permanently in the Nebraska Capitol Collections.¹

180-3-6 EXHIBIT FILES

Comprised of research materials, exhibits scripts, object lists, artifact lists, designs and publicity materials relating to exhibits at Nebraska State Capitol.

Retain permanently in the Nebraska Capitol Collections.¹

180-3-7 INCOMING LOAN FILE

This file deals with the OCC/Nebraska Capitol Collections (NCC) borrowing from other institutions for exhibition or research purposes. It may include a loan agreement form, list of the object borrowed, correspondence, and where applicable a facility reports form as well as a certificate of insurance.

Retain permanently in the Nebraska Capitol Collections.¹

180-3-8 OUTGOING LOAN FILE

This file deals with collection objects that the OCC/NCC loans to other institutions for exhibition purposes. It may include a loan agreement form, a list of the objects loaned, correspondence, and where applicable a facility reports form, and a certificate of insurance.

Retain permanently in the Nebraska Capitol Collections.¹

180-3-9 PERMISSION AND USE FORMS

Forms that patrons fill out and sign agreeing to conditions and NCC has placed on the patrons' use of copies purchased from the NCC's photograph collection.

Retain permanently in the Nebraska Capitol Collections.¹

180-3-10 PROSPECTIVE DONOR FORM FOR RETURNED DONATIONS

Includes receipt for prospective donation and correspondence.

Retain permanently in the Nebraska Capitol Collections.¹

180-4 TOURISM

180-4-1 GUEST REGISTRY BOOKS

Dispose of after 5 years or no longer of reference value, whichever is later.

180-4-2 PUBLICATION FILES

Comprised of information gathered in the creation of publications by the OCC. Publications could include brochures, informational fliers, and information on the website. Materials contained in the files include but are not limited to research, correspondence and images.

Retain permanently in the Nebraska Capitol Collections.¹

180-4-3 SPECIAL EVENTS FILES

Includes but is not limited to: Facility Use Permits, correspondence and set up maps.

Retain permanently in the Nebraska Capitol Collections.¹

Notes

1. Records kept in the Nebraska Capitol Collections (NCC) are permanent historical records. All records in the NCC collection shall be transferred to the State Archives if funding or space for the NCC cease to exist under the Office of the Capitol Commission.